

# Confined Gradual Typing

Esteban Allende, Johan Fabry, Ronald Garcia, **Éric Tanter**

University of Chile    University of British Columbia

Static

Dynamic

# Gradual Typing

Static

Dynamic

# Gradual Typing

Static

Dynamic

**equality**

$$T_1 = T_2$$

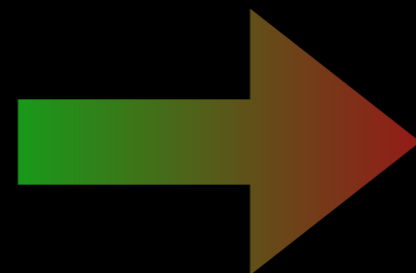
# Gradual Typing

Static

Dynamic

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**consistency**

$$T_1 \sim T_2$$

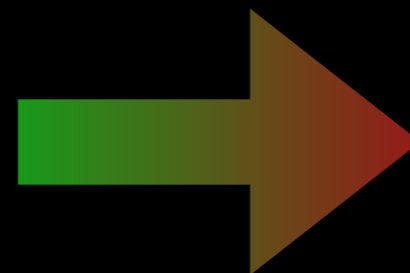
# Gradual Typing

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Dynamic

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**consistency**

$$T_1 \sim T_2$$

$$T \sim \text{Dyn}$$

$$\text{Dyn} \sim T$$

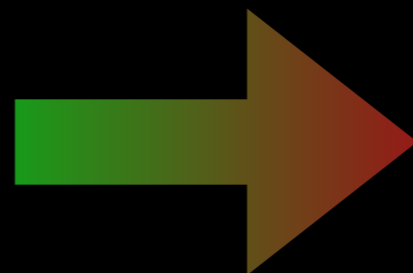
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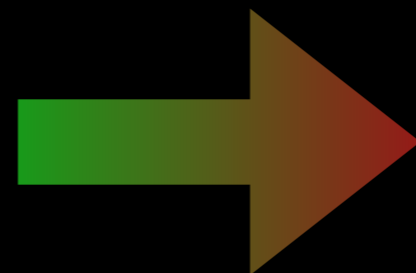
Static

Dynamic

**equality**

$$T_1 = T_2$$

**definitely** go well



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# Gradual Typing

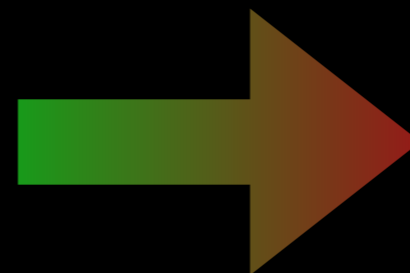
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**might** go well

# Gradual Typing

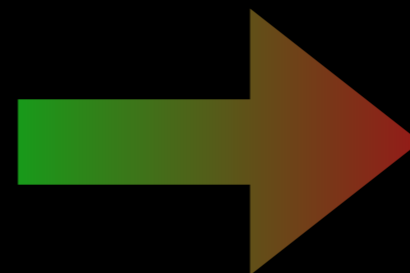
Static

Dynamic

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**might** go well

**@runtime: casts**

untyped library

Type Checking  
Runtime

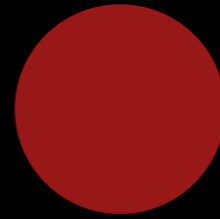


String  $\rightarrow$  String

typed ctx

untyped library

Type Checking  
Runtime

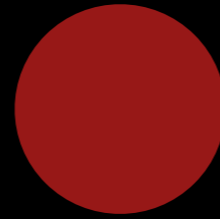


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**Type Checking**  
Runtime



String  $\rightarrow$  String

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**Type Checking**  
Runtime

 : Dyn




String  $\rightarrow$  String

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untyped library

**Type Checking**  
Runtime

 : Dyn ~ String → String




String → String

typed ctx

untyped library

**Type Checking**  
Runtime

 : Dyn ~ String → String



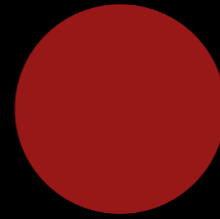
String → String

typed ctx



untyped library

Type Checking  
**Runtime**



String  $\rightarrow$  String

typed ctx

untyped library

Type Checking  
**Runtime**



String → String

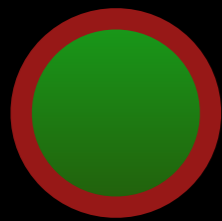
typed ctx

untyped library

Type Checking  
**Runtime**



$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$



$\text{String} \rightarrow \text{String}$

typed ctx

untyped library


Type Checking  
**Runtime**



a tagged Int

$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$



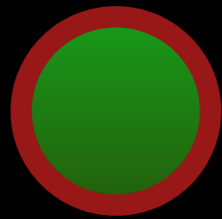
  
String  $\rightarrow$  String      typed ctx

untyped library

Type Checking  
**Runtime**



$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$



$\text{String} \rightarrow \text{String}$

typed ctx

untyped library

Type Checking  
**Runtime**



~~⟨Dyn ← Int⟩~~

String → String      typed ctx

untyped library

Type Checking  
**Runtime**



~~⟨Dyn ← Int⟩~~

⟨Dyn ← Int → Int⟩



String → String      typed ctx


untyped library

Type Checking  
**Runtime**



~~$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$~~

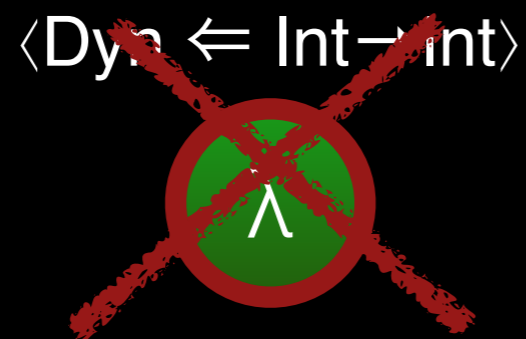
~~$\langle \text{Dyn} \Leftarrow \text{Int} \rightarrow \text{int} \rangle$~~

  
String  $\rightarrow$  String      typed ctx

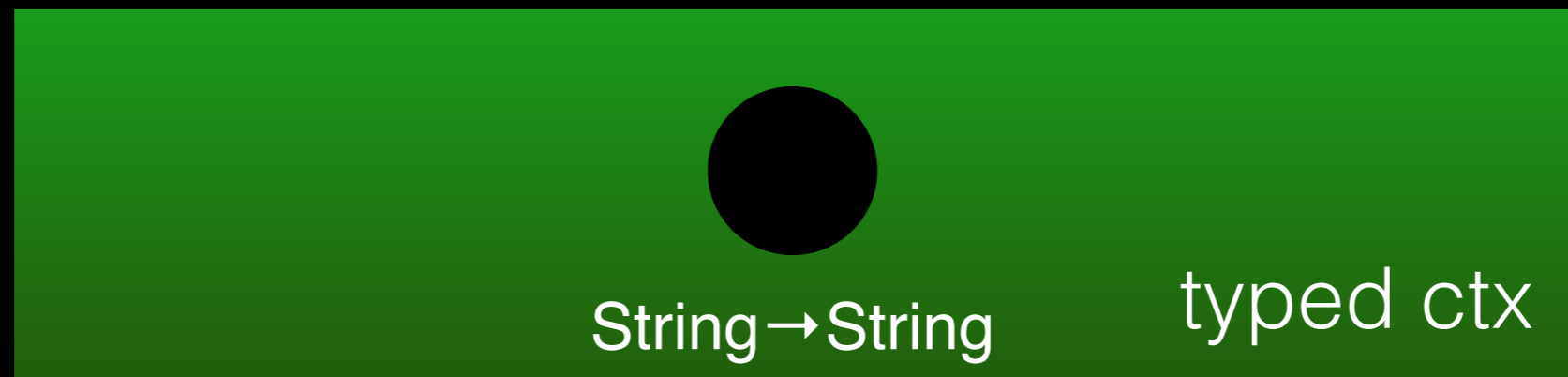


untyped library

Type Checking  
**Runtime**

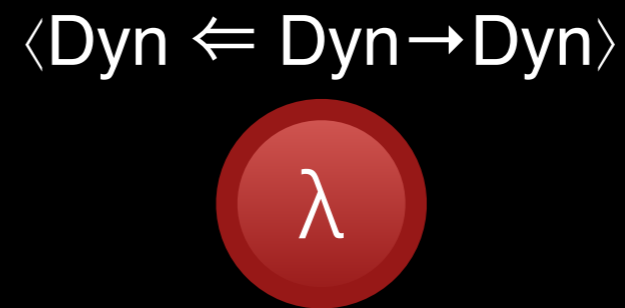
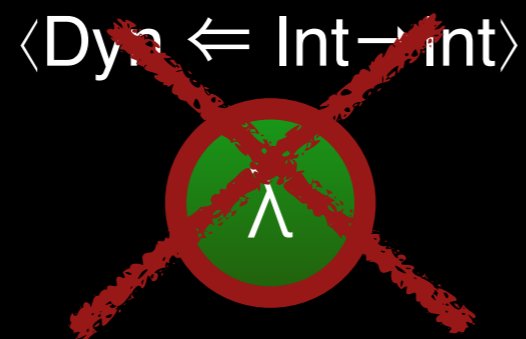


**eager cast errors**

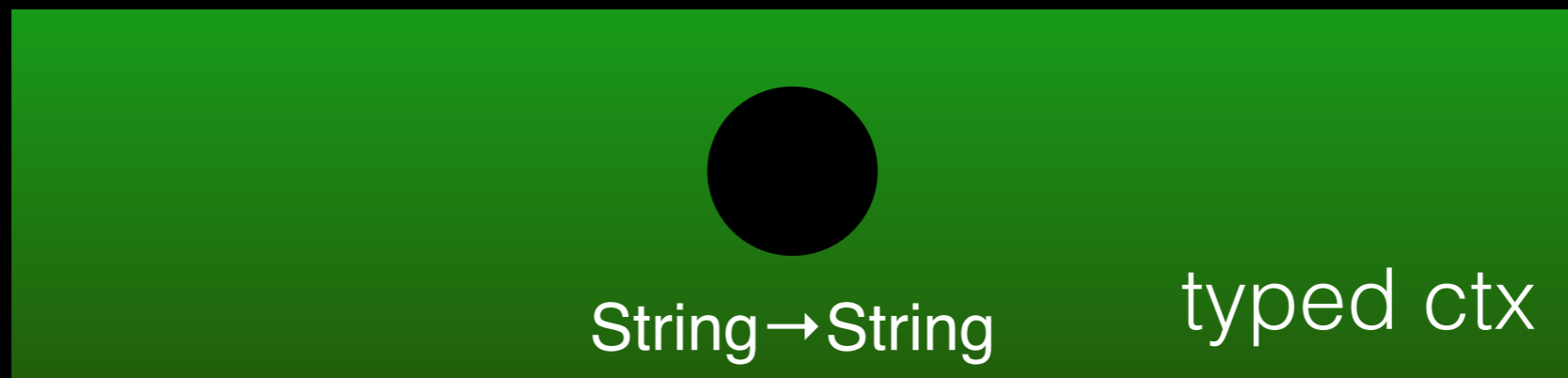


untyped library

Type Checking  
**Runtime**

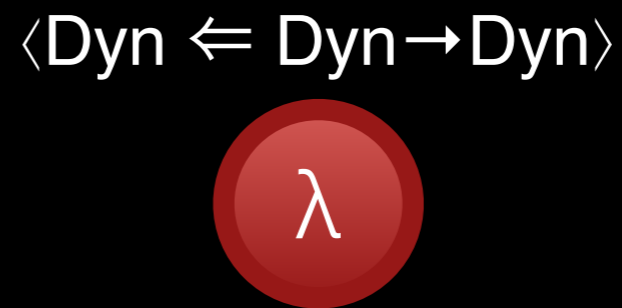
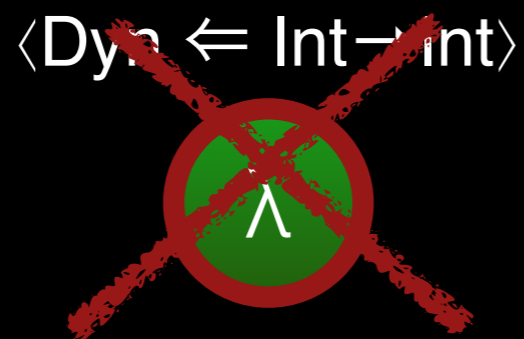


**eager cast errors**



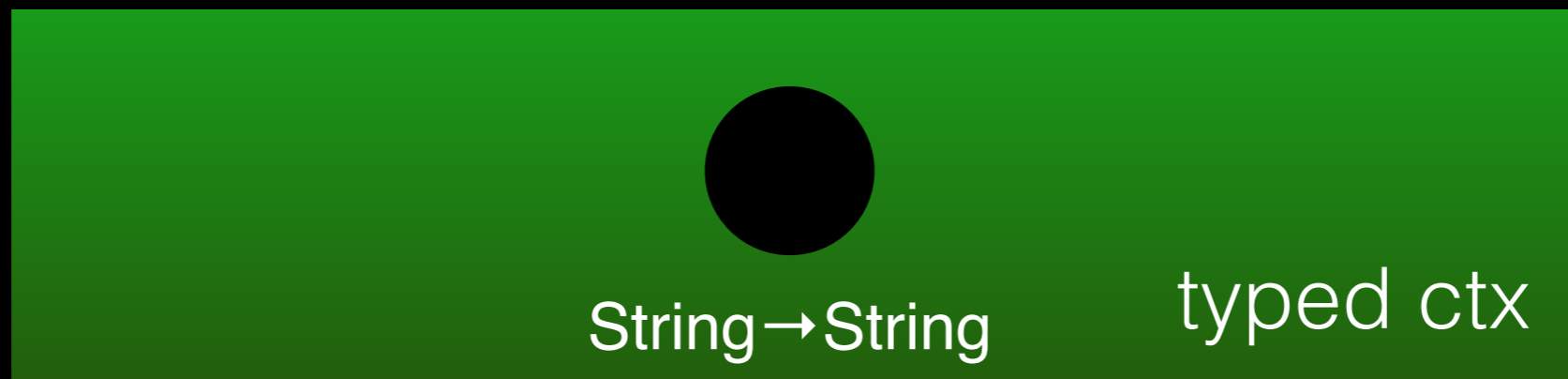
untyped library

Type Checking  
**Runtime**



**eager cast errors**

??



untyped library

Type Checking  
**Runtime**



~~$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$~~



~~$\langle \text{Dyn} \Leftarrow \text{Int} \rightarrow \text{int} \rangle$~~

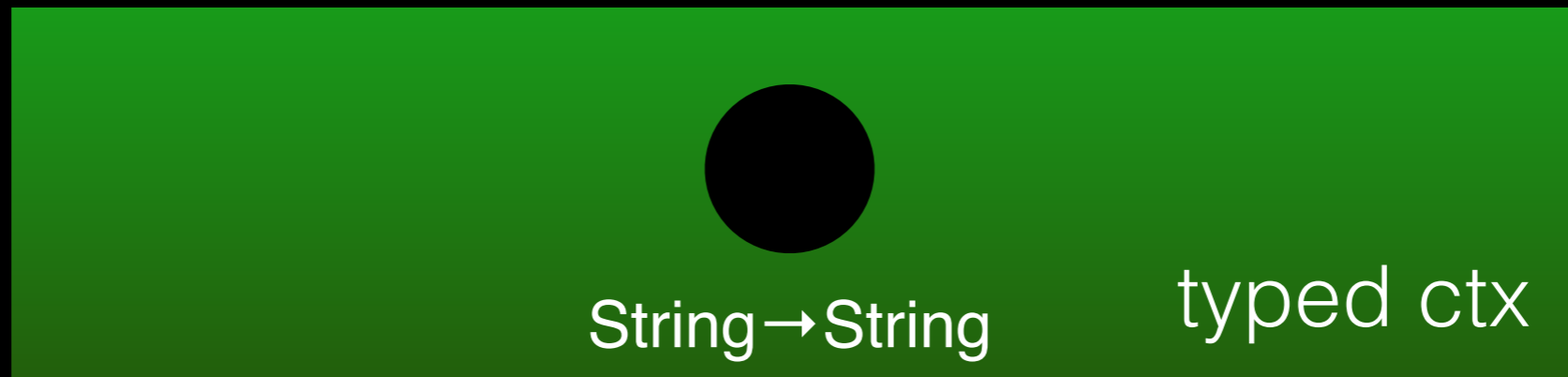


$\langle \text{Dyn} \Leftarrow \text{Dyn} \rightarrow \text{Dyn} \rangle$



**wrapper**  
lazy check  
String  $\rightarrow$  String  
conformance

**eager cast errors**



untyped library

Type Checking  
**Runtime**



~~⟨Dyn ← Int⟩~~

~~⟨Dyn ← Int → Int⟩~~

⟨Dyn ← Dyn → Dyn⟩



**eager cast**

λx:String.

⟨String ← Dyn⟩ ( λ (⟨Dyn ← String⟩ x))



String → String

typed ctx

untyped library

Type Checking  
**Runtime**



~~$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$~~



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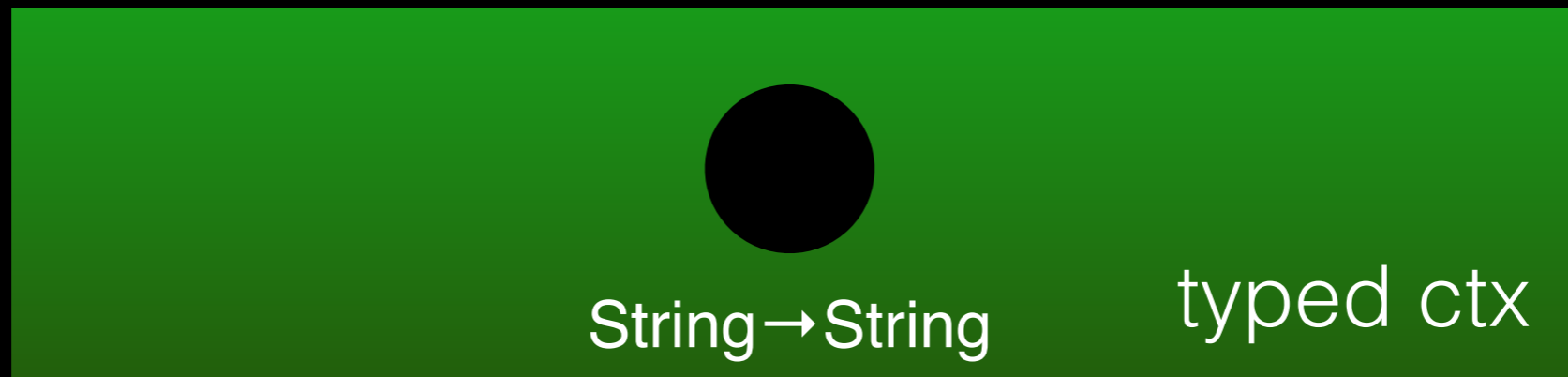


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**wrapper**  
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untyped library

Type Checking  
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~~$\langle \text{Dyn} \Leftarrow \text{Int} \rangle$~~



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$\langle \text{Dyn} \Leftarrow \text{Dyn} \rightarrow \text{Dyn} \rangle$



**eager cast errors**

**wrapper**  
lazy check  
String  $\rightarrow$  String  
conformance



String  $\rightarrow$  String

typed ctx

# issues with higher-order wrappers

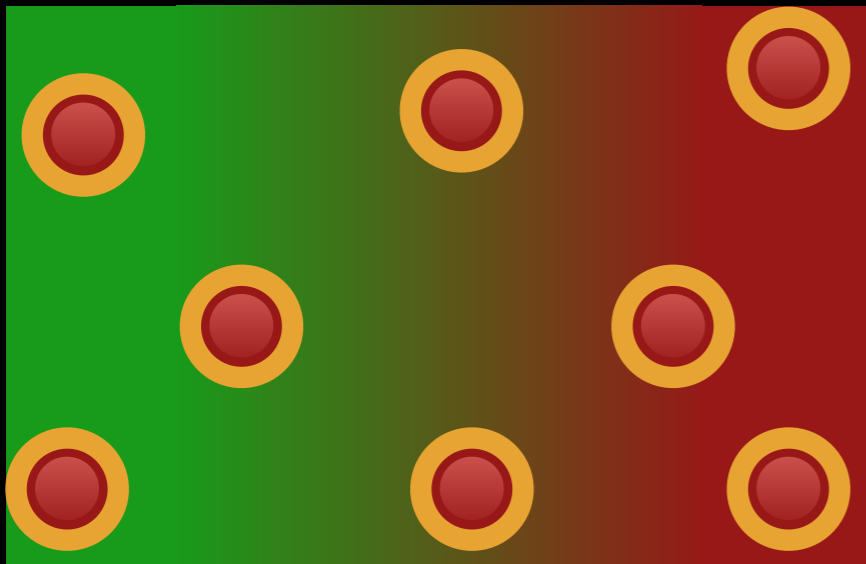




# issues with higher-order wrappers



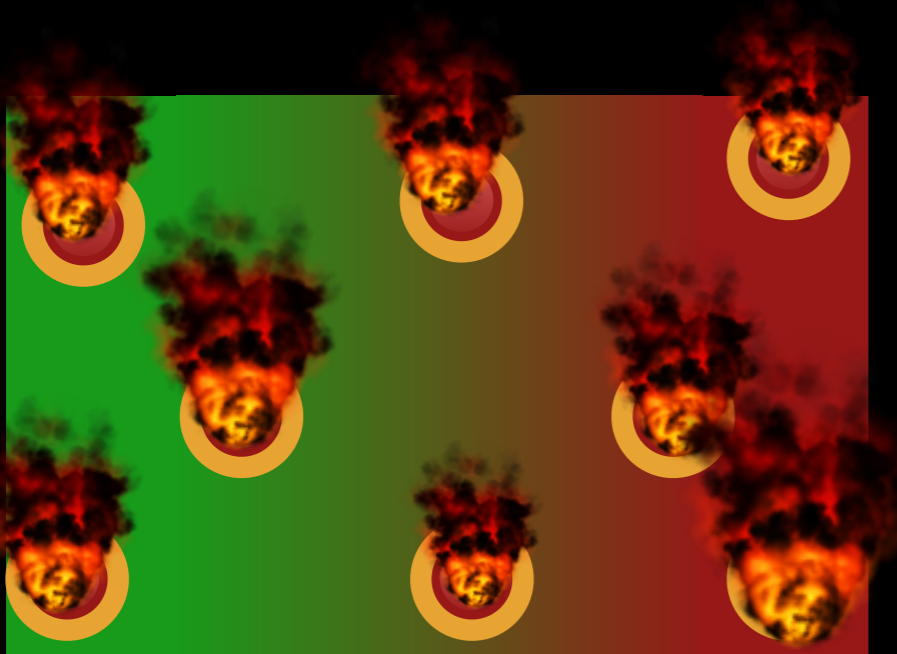
**reliability**



# issues with higher-order wrappers



**reliability**



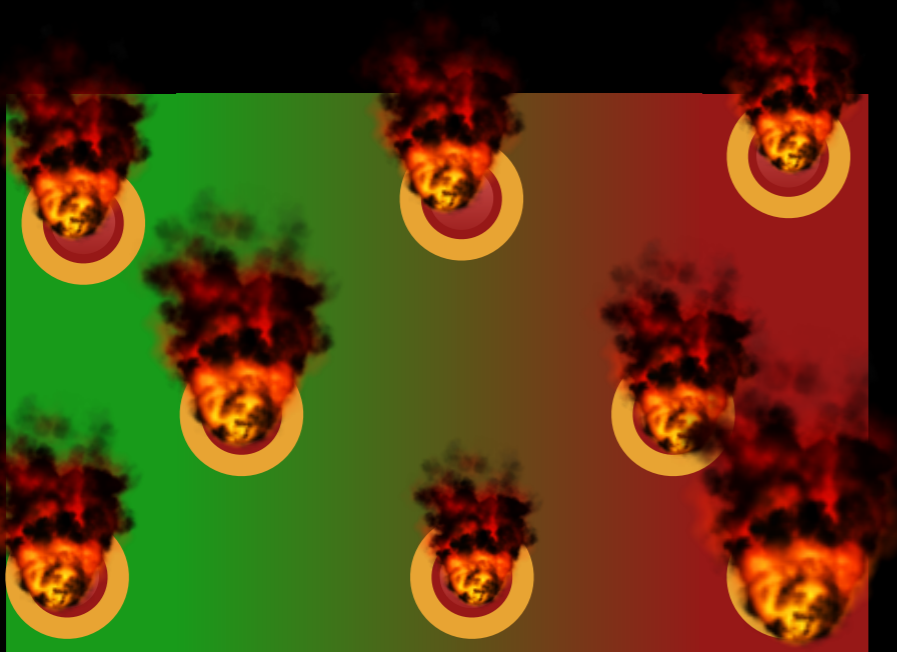
lazy cast errors  
can happen anywhere

# issues with higher-order wrappers



reliability

performance



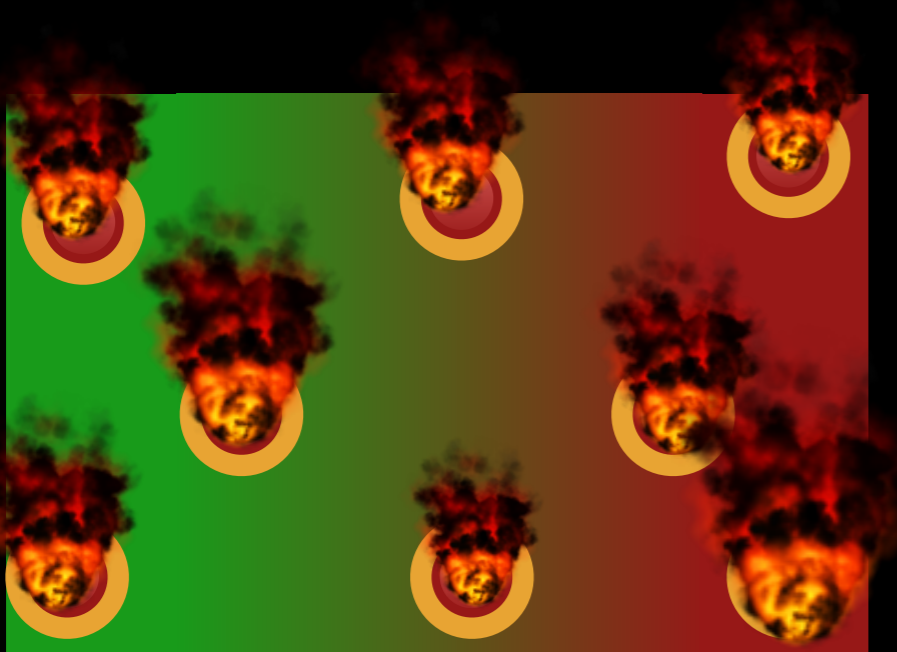
lazy cast errors  
can happen anywhere

# issues with higher-order wrappers



reliability

performance



lazy cast errors  
can happen anywhere

space and  
time issues

# programming with gradual types



# programming with gradual types

**unpredictable**

casts are  
introduced  
*implicitly*



# programming with gradual types

## unpredictable

casts are  
introduced  
*implicitly*



## fragile

a missing type  
annotation can  
have a big impact

# programming with gradual types

DLS'13

## Cast Insertion Strategies for Gradually-Typed Objects \*

Esteban Allende<sup>†</sup> Johan Fabry Éric Tanter

PLEIAD Laboratory  
Computer Science Department (DCC)  
University of Chile  
{eallende,jfabry,etanter}@dcc.uchile.cl

### Abstract

Gradual typing enables a smooth and progressive integration of static and dynamic typing. The semantics of a gradually-typed program is given by translation to an intermediate language with casts: runtime type checks that control the boundaries between statically- and dynamically-typed portions of a program. This paper studies the performance of different cast insertion strategies in the context of Gradualtalk, a gradually-typed Smalltalk. We first implement the strategy specified by Siek and Taha, which inserts casts at call sites. We then study the dual approach, which consists in performing casts in callees. Based on the observation that both strategies perform well in different scenarios, we design a hybrid strategy that combines the best of each approach. We evaluate these three strategies using both micro- and macro-benchmarks. We also discuss the impact of these strategies on memory, modularity, and inheritance. The hybrid strategy constitutes a promising cast insertion strategy for adding gradual types to existing dynamically-typed languages.

**Categories and Subject Descriptors** D.3.4 [Programming Languages]: Processors

**General Terms** Languages, Performance

**Keywords** gradual typing, casts, Gradualtalk

### 1. Introduction

The popularity of dynamic languages and their use in the construction of large and complex software systems makes the possibility to fortify grown prototypes or scripts using the guarantees of a static type system appealing. While research in combining static and dynamic typing started more than twenty years ago, recent years have

seen a lot of proposals of either static type systems for dynamic languages, or partial type systems that allow a combination of both approaches [2–5, 10, 12, 14, 20].

Gradual typing [15, 16] is a partial typing technique proposed by Siek and Taha that allows developers to define which sections of code are statically typed and which are dynamically typed, at a very fine level of granularity, by selectively placing type annotations where desired. The type system ensures that dynamic code does not violate the assumptions made in statically-typed code. This makes it possible to choose between the flexibility provided by a dynamic type system, and the robustness of a static type system.

The semantics of a gradually-typed language is typically given by translation to an intermediate language with casts, *i.e.* runtime type checks that control the boundaries between typed and untyped code. A major challenge in the adoption of gradually-typed languages is the cost of these casts, especially in a higher-order setting. Theoretical approaches have been developed to tackle the space dimension [11, 17], but execution time is also an issue. This has led certain languages to favor a coarse-grained integration of typed and untyped code [22] or to consider a weaker form of integration that avoids costly casts [24]. Other approaches include the work of Rastogi et al. [14], using local type inference to significantly reduce the number of casts that are required.

In developing Gradualtalk<sup>1</sup>, a gradually-typed Smalltalk, our first concern was the design of the gradual type system, with its various features [1]. In the current stage of this work, we are concerned with the efficiency of casts, especially those related to method invocations. This is because method invocations are naturally very frequent in object-oriented programs, especially in pure object-oriented languages like Smalltalk. Casts incur a runtime cost, and we are interested in their efficiency so as to achieve an acceptable level of performance without losing the features of gradual typing. In the foundational paper on gradually-typed objects [16], Siek and Taha describe the semantics of cast insertion using a caller-side strategy—which we term the *call strategy*. Due to implementation issues (which have since been resolved), our very first implementation of cast insertion, before implementing the Siek-Taha approach, was however based on a different approach.

\* This work is partially funded by FONDECYT Project 1110051.

<sup>†</sup> Esteban Allende is funded by a CONICYT-Chile Ph.D. Scholarship.

unpredictable

casts  
introduce  
implicit

fragile

missing type  
notation can  
have a big impact



# programming with gradual types

## unpredictable

casts are  
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*implicitly*



## fragile

a missing type  
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# programming with gradual types

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## fragile

a missing type  
annotation can  
have a big impact

cannot “seal” a typed module to protect it from  
cast errors and costly wrappers

# tackling higher-order wrappers



# tackling higher-order wrappers

**space efficiency:** coercions [Hermann+], threesomes [Siek+]

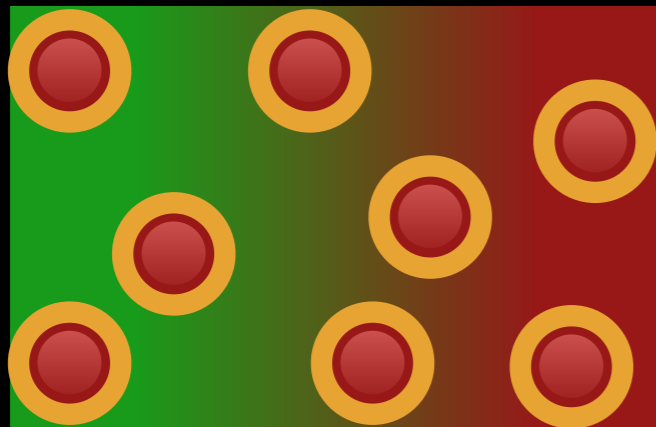


# tackling higher-order wrappers

**space efficiency:** coercions [Hermann+], threesomes [Siek+]



**eliminate** some wrappers  
[Rastogi+]

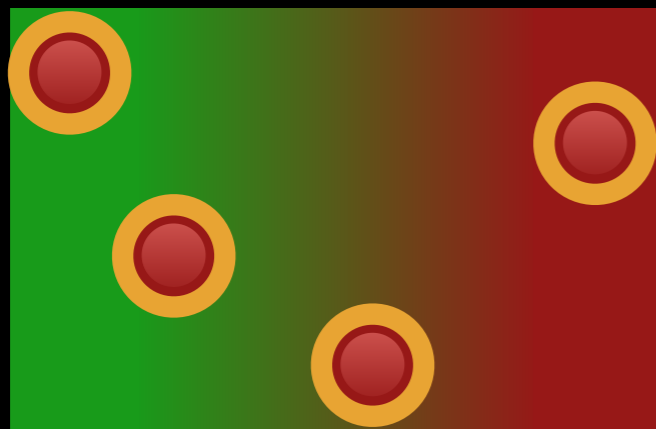


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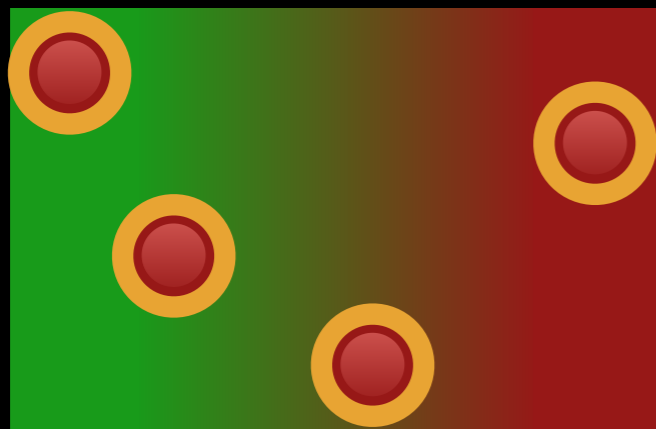
type-based static analysis

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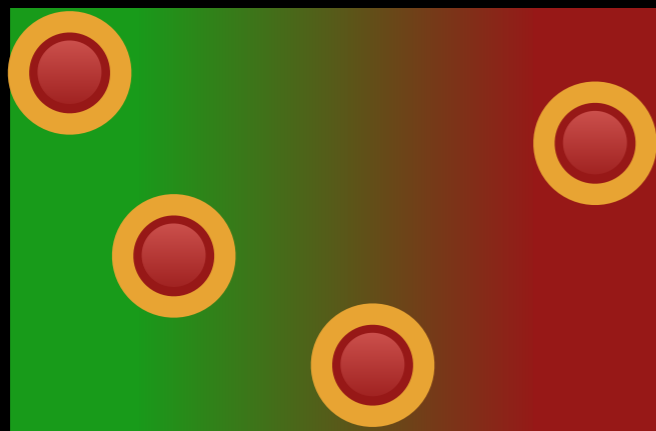
**reduce** (?) the need for wrappers  
[Tobin-Hochstadt+]

# tackling higher-order wrappers



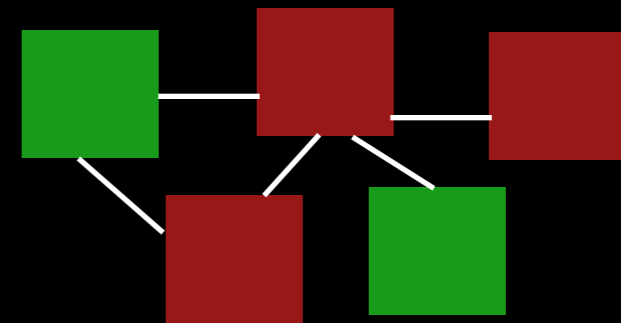
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coarse-grained gradual typing

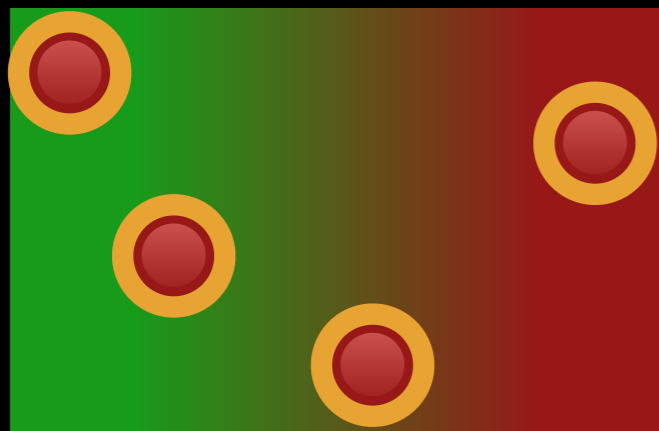


# tackling higher-order wrappers



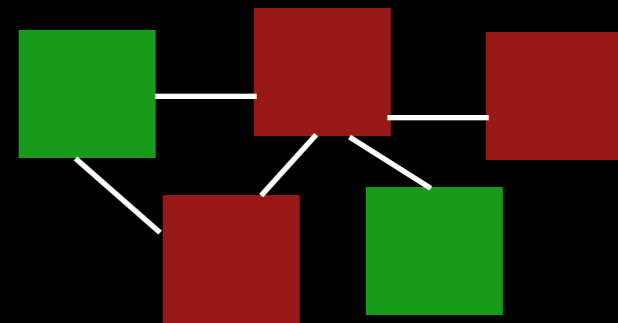
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coarse-grained gradual typing

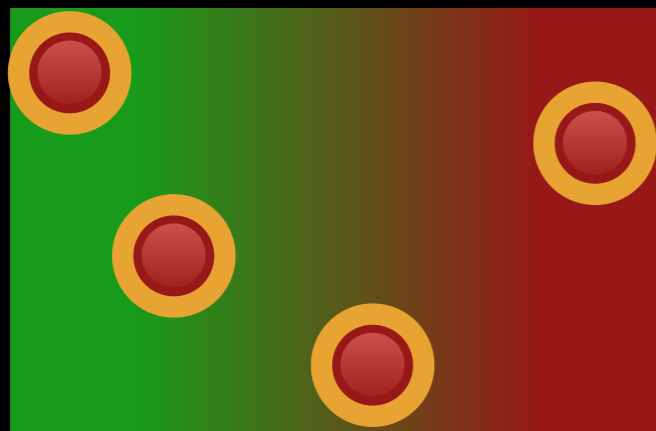
**forbid** implicit wrappers  
[Swamy+]

# tackling higher-order wrappers



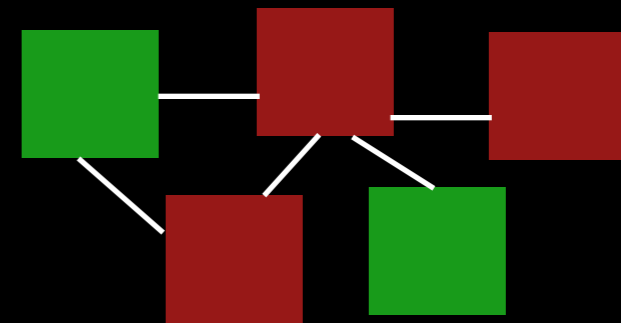
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type-based static analysis

**reduce** (?) the need for wrappers  
[Tobin-Hochstadt+]



coarse-grained gradual typing

**forbid** implicit wrappers  
[Swamy+]

**ban** wrappers  
[Wrigstad+]

# Confined Gradual Typing

# Confined Gradual Typing

Gradual Typing without Losing Control

providing explicit means to

trade some flexibility

increase predictability, reliability, performance

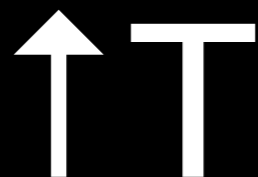
# Confined Gradual Typing

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**type qualifiers** to control the **flow** of values  
at the typed-untyped boundary

# Confined Gradual Typing

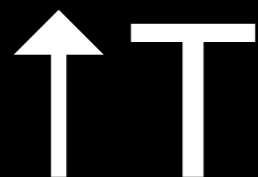
**type qualifiers** to control the **flow** of values  
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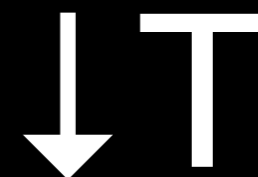
protects the *future* flow

# Confined Gradual Typing

**type qualifiers** to control the **flow** of values  
at the typed-untyped boundary



protects the *future* flow



constrains the *past* flow



# Confined Gradual Typing

**strict**

**relaxed**



**comes in two flavors!**

# Strict CGT



# Strict CGT



↑T

**cannot flow into untyped**

# Strict CGT



$\uparrow T$

cannot flow into untyped

```
foo(f :  $\uparrow T$ ) =  
  let g : Dyn = ...  
  ... g(f) ...
```

# Strict CGT



↑T

cannot flow into untyped

```
foo(f : ↑T) =  
  let g : Dyn = ...  
  ... g(f) ... type error
```

# Strict CGT



↑T

cannot flow into untyped

↓T

has never flowed through untyped

```
foo(f : ↑T) =  
  let g : Dyn = ...  
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# Strict CGT



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cannot flow into untyped

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foo(f : ↓T) =  
  ... f() ...
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# Strict CGT



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cannot flow into untyped

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```

↓T

has never flowed through untyped

```
foo(f : ↓T) =  
  ... f() ...  
  ... h(f) ...
```



# Strict CGT



↑T

cannot flow into untyped

```
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  let g : Dyn = ...  
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```

↓T

has never flowed through untyped

```
foo(f : ↓T) =  
  ... f() ...  
  ... h(f) ...
```

fully static, but restrictive

# Relaxed CGT



if what matters most is the **performance** guarantee  
we can allow *some* boundary crossing

# Relaxed CGT



if what matters most is the **performance** guarantee  
we can allow *some* boundary crossing

↑↑

**cannot be wrapped**

# Relaxed CGT



if what matters most is the **performance** guarantee  
we can allow *some* boundary crossing


↑T

cannot be wrapped

↓T

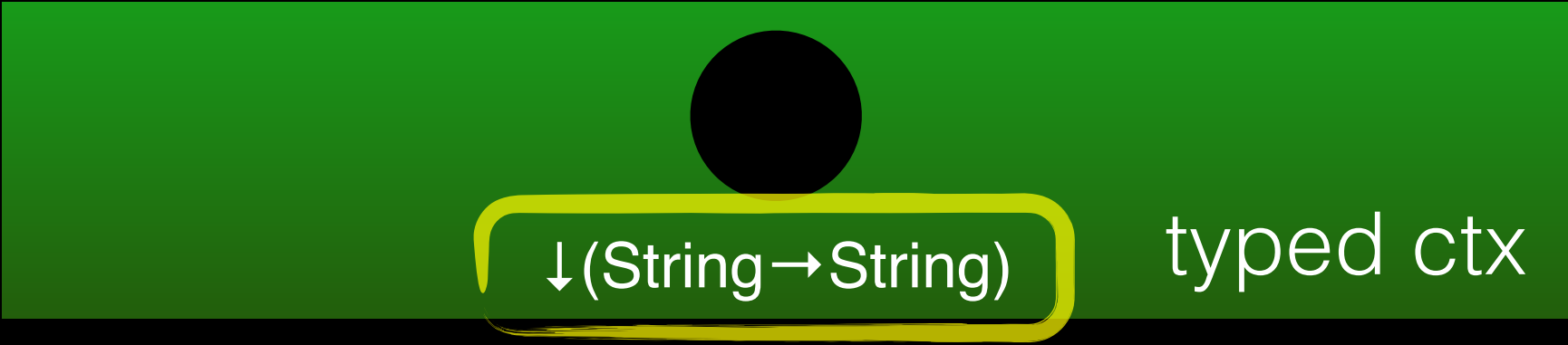
has not been wrapped





↓(String → String)

typed ctx



↓(String → String)

typed ctx

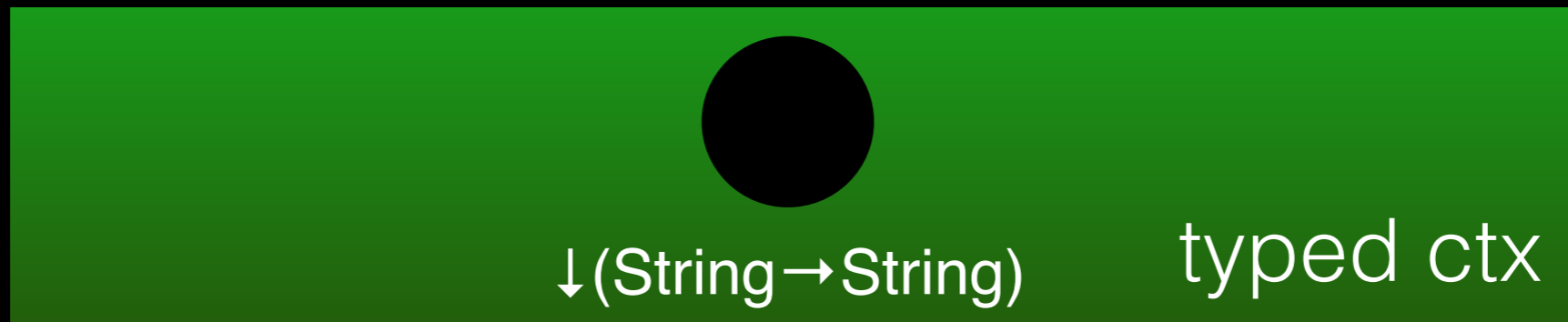


$\downarrow (\text{String} \rightarrow \text{String})$

typed ctx



$\langle \text{Dyn} \Leftarrow \text{String} \rightarrow \text{String} \rangle$

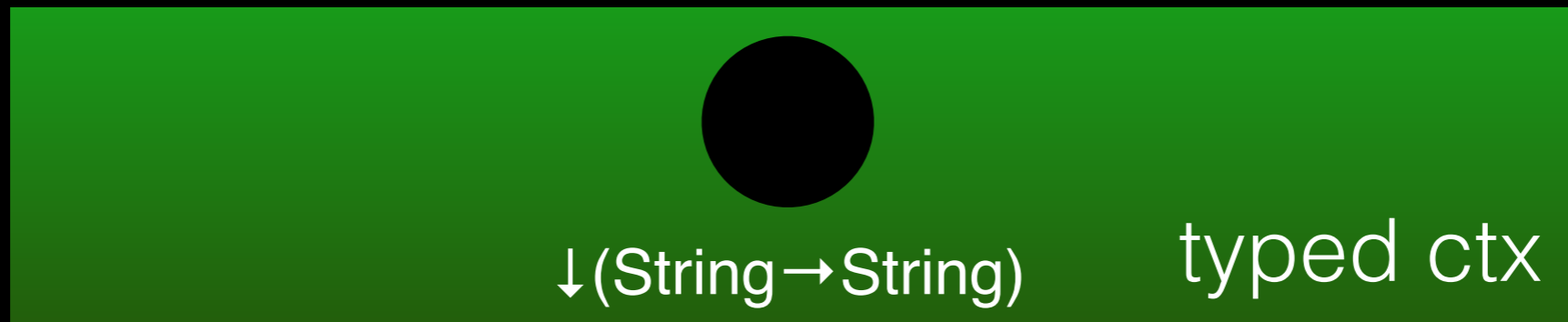


(would have been rejected by Strict CGT)

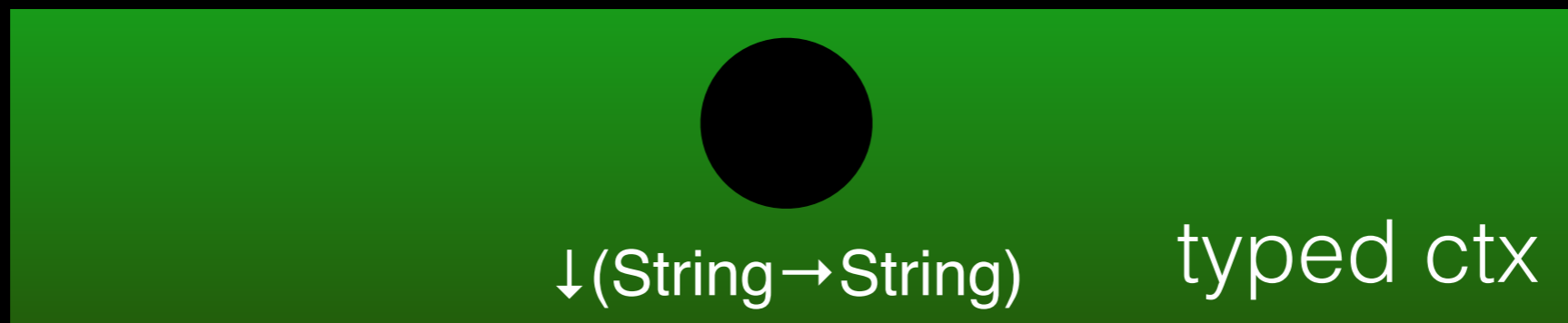
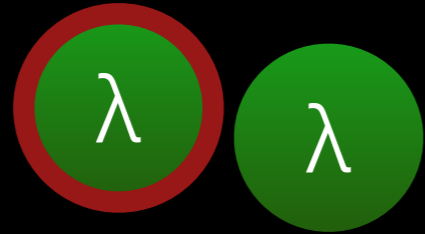
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$\langle \text{Dyn} \Leftarrow \text{String} \rightarrow \text{String} \rangle$



$\downarrow (\text{String} \rightarrow \text{String})$

typed ctx

$\langle \text{Dyn} \Leftarrow \text{String} \rightarrow \text{String} \rangle$



cannot fail, no extra cost



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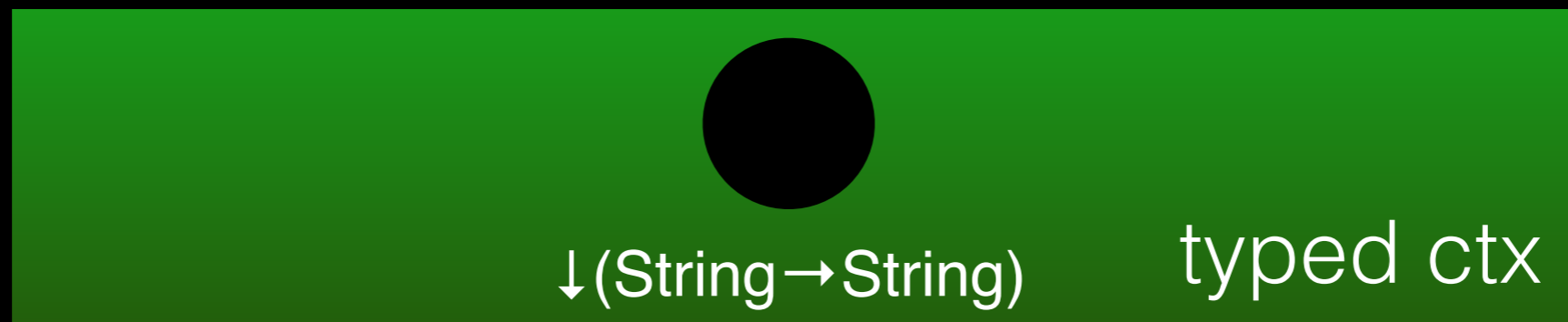
$\langle \text{Dyn} \Leftarrow \text{String} \rightarrow \text{String} \rangle$



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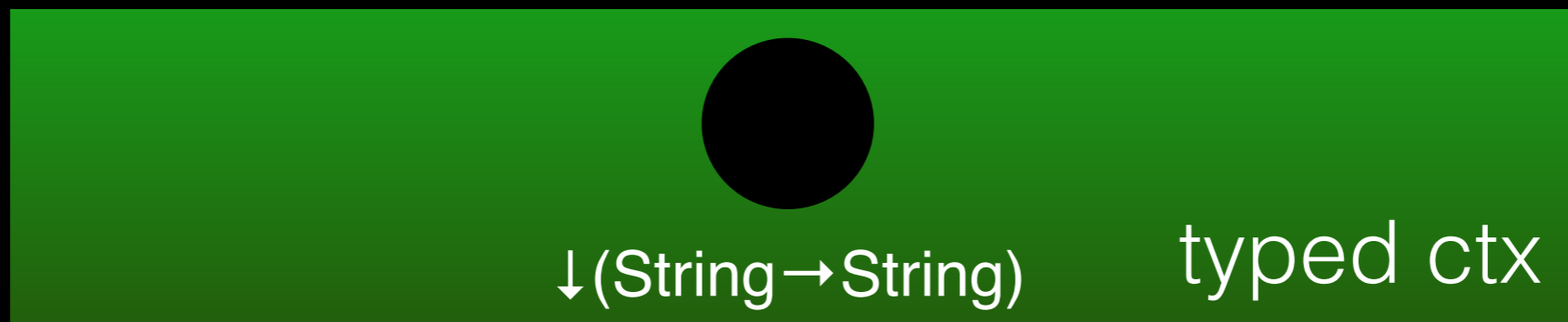
$\langle \text{Dyn} \Leftarrow \text{String} \rightarrow \text{String} \rangle$



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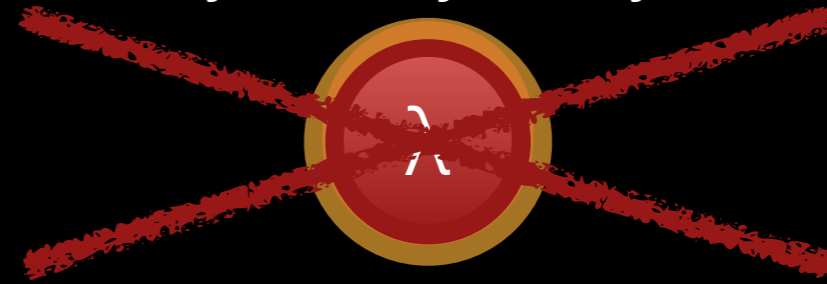




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**wrapping error @runtime**

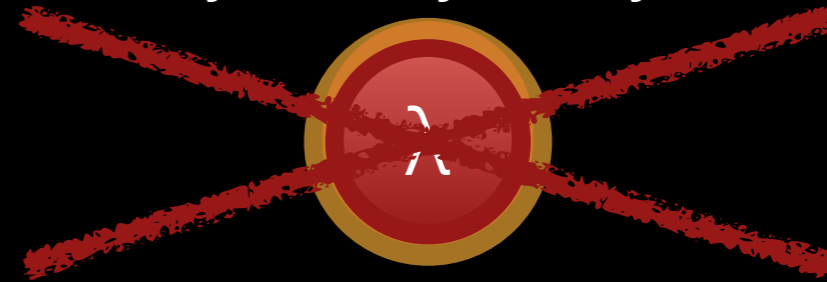
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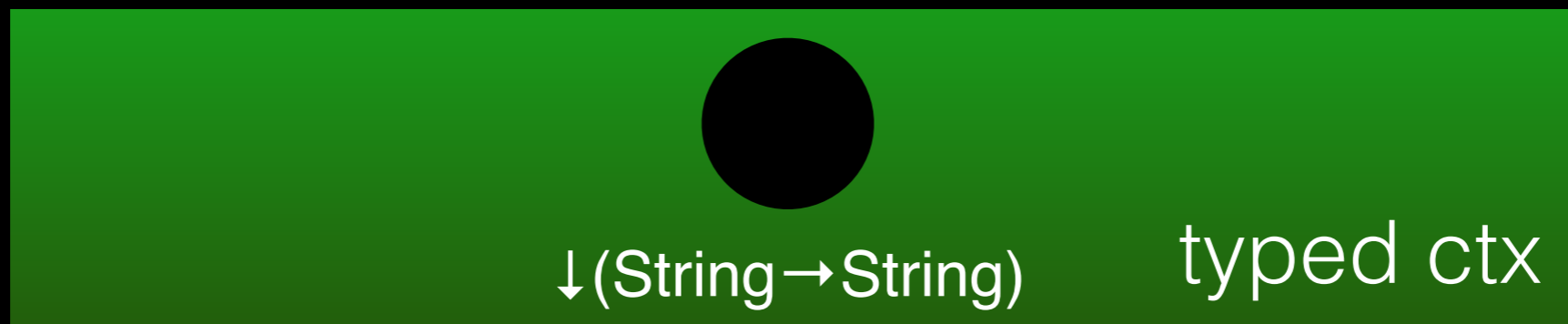


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**wrapping error @runtime**

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new kind of (eager) runtime errors at the boundary

Directed consistency  $\rightsquigarrow$

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$$\uparrow T \rightsquigarrow \text{Dyn} \quad \text{Dyn} \rightsquigarrow \downarrow T$$

**Strict CGT**

**Relaxed CGT**



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<b>Strict CGT</b>	✗	✗
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**Strict CGT**



**Relaxed CGT**



runtime semantics rejects  
wrappers from  $\uparrow T$  or to  $\downarrow T$

beyond soundness...

# Correctness of qualifiers

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**Strict CGT**: taint tracking semantics [Grossman+]

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## Relaxed CGT

*no function wrapper has  $\uparrow T$  as source type  
or  $\downarrow T$  as target type*



# Experiments in the paper

Implemented in Gradualtalk, a gradually-typed Smalltalk

Benchmarks confirm the performance costs/benefits

# Usage Scenarios

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## **interface provider**

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- eg. GUI callbacks (perfs), core system components (reliability)

## **interface client**

- annotate callbacks passed to a critical, typed, 3rd-party library

# Perspectives

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Language design

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## Language design

- combine both variants



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More practical experience (other languages)

# Confined Gradual Typing

Gradual Typing without Losing Control

providing explicit means to

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increase predictability, reliability, performance

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↓ *?* questions ? ↑